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PATENTS

EXPRESS MAIL CERTIFICATION

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(A) Date of Deposit: June 5, 2002

I hereby certify that this transmittal letter and the papers and fees identified in this transmittal letter as being transmitted herewith are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated at (A) above and are addressed to the Assistant Commissioner of Patents, Washington, D.C. 20231

Name of Person mailing the above: Kathleen D. Monical

Signature of Person mailing the above item

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Atty's Docket No: 101195-54

Examiner : TBA

Group Art Unit TBA

Applicant : Bernd Dörken et al.

Appln. No. : 09/869,508

Filed : TBA

For : Gene Transfer Vector for the Diagnosis and Therapy of
Malign Tumors

INFORMATION DISCLOSURE STATEMENT

Hon. Assistant Commissioner of Patents
BOX PCT
Washington, D.C. 20231

Dear Sir:

The following sections are being submitted for this Information

Disclosure Statement:

Sec. 1 - Preliminary Statements
Sec. 2 - Identification of Time of Filing
Sec. 4 - Statements with Respect to Listing of Information
Sec. 6 - Statements with Respect to Copies of Listed
Information Items Accompanying This Statement

Section 1
Preliminary Statements

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR 1.56 it is not intended to constitute an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR 1.97(b) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists.

Section 2
Identification of Time of Filing

This Information Disclosure Statement is filed before the issuance of the first office action.

The art was cited in the specification of the present application.


Section 4
Statements with Respect to Listing of Information

A list of the publications is set forth on the attached pages of Form PTO-1449 (modified).

Section 6
Statements with Respect to Copies of Listed Information
Items Accompanying This Statement

A copy of each of the items on PTO-1449 (modified) is supplied herewith.

Respectfully Submitted,

A handwritten signature in black ink, appearing to read 'B S Londa', is written over a horizontal line.

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